

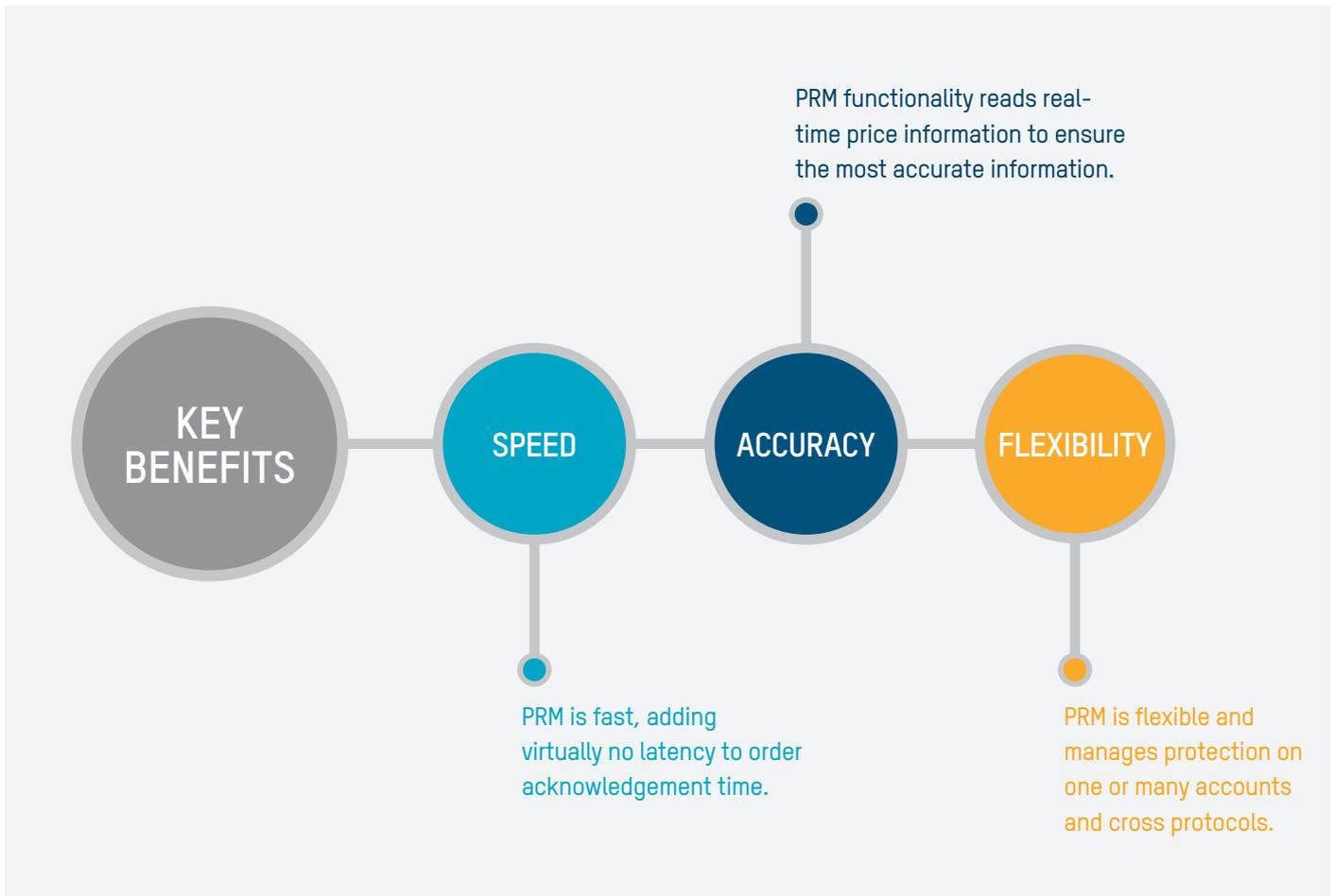
# PRE-TRADE RISK MANAGEMENT

Control Your Risk from Order Entry to Execution with NASDAQ OMX Nordic Genium INET Pre- trade Risk Management (PRM).

## BENEFITS AND FEATURES

NASDAQ OMX Nordic PRM service provides member firms with ability to facilitate pre-trade protection on Genium INET. Using PRM, firms can have order level control of their trading activity and the trading activity of their clients and customers including prevention of potentially erroneous transactions.

PRM validates orders prior to allowing them into the matching engine. PRM is flexible, using a set of parameters to determine if the order should be allowed into the market.



## PRM PROTECTION IN BRIEF

### FAT FINGER PRICE CHECK

This functionality (Order Price Limit) is separate from PRM and is set market wide for all participants by the exchange. Fat Finger Price Checks compares price instructions on incoming orders against a reference price. If the order deviate more than an order book configured parameter, the order will be rejected before it can execute.

### MAXIMUM ORDER QUANTITY CHECK

Max Order Quantity Checks provide users the ability to check order volume against a pre-set value.

### DAILY ACCUMULATED QUANTITY CHECKS

Accumulates quantity counters throughout the current trading day. Once volume hits the maximum configured value, new orders sent will be rejected. Multiple accumulation checks are available.

### MAXIMUM ORDER RATE PER SECOND CHECKS

Set limits on allowed orders/sec rates on a per Pre-Trade Limits Group basis

### DISCONNECT SAFEGUARD

If the sponsor loses its connection, associated sponsored clients are blocked in the same way as if a limit is breached.

### PRM E-MAIL NOTIFICATIONS

PRM sends you notifications when limits are breached or warning levels are reached.

### PRM ADMINISTRATION INTERFACE

An easy to use PRM Administration interface, is provided, that provides direct control of your PRM account configuration, including the ability to perform emergency actions.

## PRM PROTECTION IN DETAIL

For detailed information about the PRM service: [WWW.NASDAQOMX.COM/NORDICPRM](http://WWW.NASDAQOMX.COM/NORDICPRM)

## MORE INFORMATION

[WWW.NASDAQOMX.COM/NORDICPRM](http://WWW.NASDAQOMX.COM/NORDICPRM)

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