

# Nasdaq Futures, Inc. (NFX)

## Membership Checklist

Ensure you are ready to trade on NFX by referencing the member checklist below. For questions, contact Nasdaq Futures Membership at +1 215 496 5322.

### MEMBERSHIP /

- A completed Futures Participant Application
- Executed Nasdaq Futures, Inc. Services Agreement
- Executed Clearing Letter of Guarantee for each Contract that Applicant intends to submit Orders or Quotes for on the Exchange (Appendix A)
- Authorized Risk Officer Designation Form (Appendix B)
- Authorized Trader Designation Form (Appendix C)
- The appropriate Authorized Trader Consent Form for each Authorized Trader (Exhibits 1 and 2 of Appendix C)
- Summary of Applicant's business plan
- A copy of Applicant's Written Supervisory Procedures with respect to its futures business activities
- A copy of Applicant's Pre-Trade Risk Controls
- A copy of Applicant's business continuity plan
- A copy of Applicant's Ant-Money Laundering policy
- Statement of financial condition as of a date not greater than 45 days from the date of application
- Written explanation detailing the events for any matter that caused a "Yes" answer in Section I of the Futures Participant Application
- A complete set of applicable formation documents:
 

<b>Corporations</b> (Include the following) <ul style="list-style-type: none"> <li>• Articles of Incorporation or Formation</li> <li>• By-Laws</li> </ul>	<b>Partnerships</b> (Include the following) <ul style="list-style-type: none"> <li>• Partnership Agreement</li> </ul>	<b>Limited Liability Companies</b> (Include the following) <ul style="list-style-type: none"> <li>• Operating Agreement</li> <li>• Articles of Organization or Certificate of Formation</li> </ul>
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### CONNECTIVITY & MARKET DATA /

- Complete the optional [Futures Port Request Form](#)
- Complete the optional [Nasdaq Global Data Agreement](#)
- Complete the optional [Data Feed Request Form](#)

### Contacts

#### Nasdaq Membership

Email: [membership@nasdaq.com](mailto:membership@nasdaq.com) / Phone: +1 215 496 5322

#### Nasdaq Market Operations

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