
NFX Henry Hub Conditional Position Limit Application

Submit completed applications to
FuturesReg@nasdaq.com

Please refer to NFX Rulebook Chapter V, Section 13, Position Limits and Position Accountability, for NFX requirements related to position limits.

Applicant Account (Name): _____

Clearing Futures Participant (Name): _____

Tax I.D. Number	Name	Address	Applicant Account Number
TOTAL			

NFX Rulebook Chapter V, Section 13(a)(ii) provides that a conditional limit in the NFX Henry Hub Natural Gas Financial Futures – 10,000 contract (HHQ) and in the NFX Henry Hub Natural Gas Financial Futures – 2,500 contract (NNQ) of up to the equivalent of five times the otherwise applicable spot month position limit for HHQ may be granted by the Exchange for the period that spot month position limits are in effect, subject to certain conditions. Application for the conditional limit shall be made by completing this form and submitting it to the Exchange by email at FuturesReg@nasdaq.com no later than 4 business days prior to exceeding the position limit.

Provide a complete description of all Henry Hub contracts (including but not limited to Physicals/Forwards/Futures/Swaps) as of the date of submission of this application: _____

Applicant represents and covenants to NFX that: (A) it will not hold or control a position in the corresponding CME/NYMEX Natural Gas Futures (NG)_contract during the last three days of trading; (B) it will provide the Exchange with information on all positions related to Henry Hub contracts; and (C) it will provide such other information as may be requested by the Exchange.

Applicant further represents that it has notified the Clearing Futures Participant identified on page 1 that this NFX Henry Hub Conditional Position Limit Application has been filed with NFX, and that all information set forth in this form by Applicant is accurate and complete.

_____ Date

Prepared by: _____

Signature: _____

Attach additional sheets as needed to complete the above questions.