NASDAQ-PORT REQUEST FORM

FOR MORE INFORMATION PLEASE VISIT OUR WEBPAGE.

Participant/Firm Name		MPID	
Clearing Firm Name (FCM)		MPID Of Clearing Firm	
Contact Person			
Tel. Number		Email Address	
	☐ New User	☐ Remove User	

USER 1

Owner Name			
Tel. Number		EMAIL ADDRESS	
Environment	☐ TEST 1 ☐ PRODUCTION	User Type	☐ Read Only ☐ Full Access
Access	☐ TRADE REPORT ACCESS (ORBS)	☐ DMA/Trading Firm Access	
	☐ FCM Access (FCMs ONLY)	☐ DMA/Trading Firm Access (With Order Cancellation)	
		☐ FCM Access (With Order Cancellation) (FCMs ONLY)	
Account Access (DMA/Trading Firms)	EX: Filter for Account XYZ & 123		

USER 2

Owner Name			
Tel. Number		EMAIL ADDRESS	
Environment	☐ TEST 1 ☐ PRODUCTION	User Type	☐ Read Only ☐ Full Access
Access	☐ TRADE REPORT ACCESS (ORBS)	☐ DMA/Trading Firm Access	
	☐ FCM Access (FCMs ONLY)	☐ DMA/Trading Firm Access (With Order Cancellation)	
		☐ FCM Access (With Order Cancellation) (FCMs ONLY)	
Account Access (DMA/Trading Firms)	EX: Filter for Account XYZ & 123		

*For additional users, please use appendix.

SIGNATURE OF REQUESTOR		DATE	
NAME AND TITLE IN PRINT			

Appendix

USER 3			
Owner Name			
Tel. Number		EMAIL ADDRESS	
Environment	☐ TEST 1 ☐ PRODUCTION	User Type	☐ Read Only ☐ Full Access
Access	☐ TRADE REPORT ACCESS (ORBS)	☐ DMA/Trading Firm Access	
	☐ FCM Access (FCMs ONLY)	☐ DMA/Trading Firm Access (With Order Cancellation)	
		☐ FCM Access (With Order Cancellation) (FCMs ONLY)	
Account Access (DMA/Trading Firms)	EX: Filter for Account XYZ & 123		

USER 4			
Owner Name			
Tel. Number		EMAIL ADDRESS	
Environment	☐ TEST 1 ☐ PRODUCTION	User Type	☐ Read Only ☐ Full Access
Access	☐ TRADE REPORT ACCESS (ORBS)	☐ DMA/Trading Firm Access	
	☐ FCM Access (FCMs ONLY)	☐ DMA/Trading Firm Access (With Order Cancellation)	
		☐ FCM Access (With Order Cancellation) (FCMs ONLY)	
Account Access (DMA/Trading Firms)	EX: Filter for Account XYZ & 123		

USER 5			
Owner Name			
Tel. Number		EMAIL ADDRESS	
Environment	☐ TEST 1 ☐ PRODUCTION	User Type	☐ Read Only ☐ Full Access
Access	☐ TRADE REPORT ACCESS (ORBS)	☐ DMA/Trading Firm Access	
	☐ FCM Access (FCMs ONLY)	☐ DMA/Trading Firm Access (With Order Cancellation)	
		☐ FCM Access (With Order Cancellation) (FCMs ONLY)	
Account Access (DMA/Trading Firms)	EX: Filter for Account XYZ & 123		

Nasdaq

USER 6			
Owner Name			
Tel. Number		**EMAIL ADDRESS**	
Environment	☐ TEST 1　　☐ PRODUCTION	**User Type**	☐ Read Only　　☐ Full Access
Access	☐ TRADE REPORT ACCESS (ORBS)	☐ DMA/Trading Firm Access	
	☐ FCM Access (FCMs ONLY)	☐ DMA/Trading Firm Access (With Order Cancellation)	
		☐ FCM Access (With Order Cancellation) (FCMs ONLY)	
Account Access (DMA/Trading Firms)	EX: Filter for Account XYZ & 123		

Glossary

MPID – Market Participant Identifier

User Types:

• *Trade Report Access (ORB)* – Account View, Block Ticker, Enter Trade Report View (Deal Entry), Trade History, Trades

• *DMA/Trading Firm Access* - Account View, Block Ticker, Trade History, Trades

• *FCM Access (FCMs ONLY)* - Account View, Block Ticker, Configure Trade Report Accounts, Enter Single Leg Trade Report, Enter Trade Report, Trade History, Trades

• *DMA/Trading Firm Access (With Order Cancellation)* – Orders, Account View, Block Ticker, Trade History, Trades

• *FCM Access (With Order Cancellation) (FCMs ONLY)* – Orders, Account View, Block Ticker, Configure Trade Report Accounts, Enter Single Leg Trade Report, Enter Trade Report, Trade History, Trades