

Nasdaq Corporate Overview

Nasdaq (Nasdaq: NDAQ) is a leading provider of trading, clearing, exchange technology, listing, information and public company services across six continents. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today's global capital markets.

As the creator of the world's first electronic stock market in 1971, Nasdaq has a history of delivering leading technology solutions that have transformed the global capital markets space. Today, its portfolio of businesses cover five key segments:

- MARKET SERVICES
- LISTING SERVICES
- CORPORATE SOLUTIONS
- GLOBAL INFORMATION SERVICES
- MARKET TECHNOLOGY

Our Vision

We connect business, capital and ideas to advance today's global economies.

Our Mission

We provide market-leading technology solutions and intelligence to help businesses and investors succeed in today's global capital markets.

GLOBAL TRADING & MARKET SERVICES /

Equities, Commodities, Fixed Income & Currencies, Access Services, Pre- & Post-Trade Services, and Market Operations

Nasdaq operates one of the world's largest networks of exchanges, which spans 25 markets, 1 clearing house, and 5 central securities depositories. Its markets trade multiple asset classes including equities, options, futures, fixed-income, commodities, derivatives and structured products. Nasdaq services support the entire lifecycle of a trade: from pre-trade to post-trade risk management, access and connectivity, data, compliance and reporting and clearing.

THE NASDAQ STOCK MARKET IS THE LARGEST SINGLE U.S. EXCHANGE BY VOLUME TRADED*

IN ITS FIRST YEAR OF OPERATION, NASDAQ FUTURES, INC. (NFX) GREW PARTICIPATION TO OVER 100 FIRMS AND LAUNCHED 110 NEW PRODUCTS, REACHING A RECORD DAILY VOLUME OF 188,000 CONTRACTS IN JULY 2016.

NASDAQ IS A LEADER IN U.S. AND NORDIC EQUITIES TRADING AND IS ALSO ONE OF EUROPE'S LARGEST DERIVATIVES EXCHANGE.

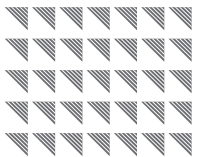
25
MARKETS

5
CENTRAL
SECURITIES
DEPOSITORIES

LISTING SERVICES /

Global Listings Services

Nasdaq is the preferred listing destination for major brands—from technology to consumer. It is home to four of the top five Fortune “World’s Most Admired Brands” and five of the top seven Fortune “Fastest Growing Tech Companies.” Across its global exchanges, Nasdaq lists more than 3,700 companies in 35 countries, representing more than \$10 trillion in total market value.



3,700+
COMPANIES

35
COUNTRIES

\$10T+ MARKET
VALUE

INFORMATION SERVICES /

Global Index Services and Global Data Products

Nasdaq’s Indexes and Data solutions provide unmatched market insight and tools that enable financial professionals and individual investors worldwide to have the essential market transparency they need to make informed trading, investing and valuation decisions.

Nasdaq is a leading index provider with

41,000+
INDEXES

across asset classes and geographies that represent

98%

of the global equity investable marketplace

Our data products and feeds are a trusted source of market information,

90+ DATA

PRODUCT OFFERINGS

supporting 2.5 million investment professionals

and users in

85 COUNTRIES

TECHNOLOGY SOLUTIONS

Global Corporate Solutions and Market Technology

Global Technology Solutions is an exchange and business technology services provider that supports every aspect of a business and marketplace. Through a combination of the Market Technology and Corporate Solutions businesses, Global Technology Solutions has nearly 18,000 clients in 60 countries consisting of public and private entities, exchanges, regulators and broker-dealers.

- Nasdaq technology is used to power over **70 MARKET-PLACES**, regulators, CSDs and clearing-houses in over
- **50 COUNTRIES**
- Only provider with tailored solutions across exchange technology, Investor Relations, Public Relations, Governance, Risk & Compliance and communications

Clients include

90%
of the Fortune 500 companies

40%
of WFE members

COPORATE HEADQUARTERS /

One Liberty Plaza 165 Broadway New York, NY 10006

NASDAQ OPERATES IN
 **50+**
OFFICES SPANNING

27
 **COUNTRIES**

LEADERSHIP /

Adena Friedman

President and CEO

Michael Ptasznik

Executive Vice President and Chief Financial Officer

Brad Peterson

Executive Vice President and Chief Information Officer

Ed Knight

Executive Vice President and Chief General Counsel

Lars Ottersgård

Executive Vice President of Market Technology

Nelson Griggs

Executive Vice President of Listing Services

Bjørn Sibbern

Executive Vice President of Global Information Services

Tom Wittman

Executive Vice President, Global Head of Equities, Global Trading and Market Services

John Shay

Senior Vice President of Fixed Income, Commodities and Clearing

Stacie Swanstrom

Executive Vice President of Corporate Solutions

Bruce Aust

Vice Chairman

Meyer "Sandy" Frucher

Vice Chairman

Hans-Ole Jochumsen

Vice Chairman

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