

2017 CORPORATE RESPONSIBILITY OVERVIEW

CORPORATE SUSTAINABILITY

Nasdaq leverages core values of efficiency, transparency, and good governance to sustain its business and create long-term value for stakeholders.



NASDAQ NAMED TO DOW JONES SUSTAINABILITY NORTH AMERICA INDEX

NEW YORK, Sept. 12, 2017 (GLOBE NEWSWIRE) – NASDAQ FINANCIAL GROUP today announced it has been selected as an index component of the *Dow Jones Sustainability North America (DJSI)*, one of the most prestigious corporate sustainability ranking efforts. For the second consecutive year, Nasdaq is one of approximately 160 North American companies included in the index, and one of only eight diversified financial services firms. It is the only stock exchange selected from North America.

Nasdaq actively supports its 1,500 listed companies in their sustainability efforts, and strives to create an open dialogue between listed companies, investors, analysts, regulators, and academics on the economic value of sustainability. Nasdaq also works closely with international groups such as the World Federation of Exchanges, the United Nations Sustainable Stock Exchanges Initiative, and the UN Global Compact in pursuit of practical guidance for public company disclosures related to sustainability and long-term value creation.

Nasdaq-listed companies in North America earning the ESG distinction include Amgen, Stamps, CA Technologies, Cisco Systems, Inc., Conoco, Harsco, Intel, Microsoft, Mondelez International, and Starbucks, among others. Nasdaq-listed companies named to the European Index include Endesa and Novozymes.

"Many investors today are looking for companies to manage and disclose their sustainability strategies," said Evan Harvey, Global Head of Sustainability at Nasdaq. "Sustainability might seem to help create better markets by driving efficient capital flow and create more investment. Nasdaq has long been committed to creating sustainable value for our market stakeholders."



Nordic Stock Exchanges top Most Sustainable List

Stockholm (Reuters) – Corporate Knights, the Canadian sustainability ranking agency, has named Nasdaq to its most sustainable list for the third year.

"Measuring Sustainability Disclosure – Ranking the World's Stock Exchanges" measures, as its title indicates, how the world's stock exchanges rank with regard to sustainability. Nasdaq ranked highest in the 2017 rankings, followed by the London Stock Exchange and the New York Stock Exchange in eighth, putting the two Nordic stock exchanges in Corporate Knights' top ten.

Nasdaq Helsinki was the best performing exchange in the world in terms of disclosing sustainability metrics, with over 50% of its large listings communicating all four environmental metrics to investors: board diversity, water and waste, climate, and human rights. Copenhagen ranked the highest, however, when measured by green revenue percentage, at 4.6% versus Helsinki's 2.3%. Conversely, Nasdaq Oslo was found to have the highest rate of corporate revenue from tobacco, liquor, and gas, thermal coal and nuclear activities using coal for electricity generation, at 44.5%.

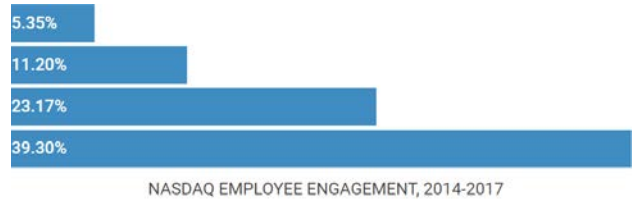
The data used to assess the stock exchanges related to the fiscal year 2015. Winning companies succeeded in fulfilling the seven sustainability indicators used for assessment, and also provided timely reporting. Only 4.2% of the companies in the world considered "large" reported their greenhouse gas emissions for 2015, regarding the most heavily tracked corporate environmental performance indicators.

"While it never made sense that investors could succeed in a society or planet that fails, emerging policy and technological advances have ushered in a new fundamental truth: investing in a better world makes sense and makes dollars." Corporate Knights CEO Toby Hoops said in the report.

- We published our most ambitious Corporate Sustainability report yet in 2017, and completed a comprehensive materiality assessment
- Nasdaq was again honored as one of the world's most sustainable companies by inclusion in the Dow Jones Sustainability Index, joining more than two dozen other Nasdaq-listed companies
- Nasdaq Helsinki was rated the most sustainable stock exchange in the world by Corporate Knights; in fact, three Nasdaq exchanges made the top ten
- We launched an ambitious ESG Pilot Reporting program (and published a related Reporting Guide) for listed companies in the Nordic and Baltic markets

SOCIAL RESPONSIBILITY

We work together as a team and share the responsibility for business success, but we also share a responsibility to engage, support and empower our communities. Employee engagement (the percentage of all Nasdaq FTEs participating in our Social Responsibility program, called GoodWorks) has dramatically increased since 2014.



NASDAQ EMPLOYEE ENGAGEMENT, 2014-2017

Volunteerism

Our commitment to community service reflects a Nasdaq culture that focuses relentlessly on client needs. Since the advent of the GoodWorks program, employee donation hours have averaged +115% in year-over-year growth.



NASDAQ VOLUNTEERING HOURS, 2014-2017

Philanthropy

Our robust and inclusive donation matching program allows employees to drive meaningful change where they see fit. Total charitable impact, which combines employee donations with corporate matching funds, has experienced similar growth over the same time period.



NASDAQ CHARITABLE IMPACT (USD) 2014-2017

2017 Program Highlights

- The GoodWorks campaign transitioned in 2017 from primarily a US initiative to a truly global program for all Nasdaq employees
- International efforts featured a new Nasdaq partnership with Mentor Sweden and the formation of a dedicated CSR Committee in India
- Nasdaq Bangalore organized *Daan Utsav* (the Joy of Giving) week, which featured five area non-profits onsite to showcase their work
- More than 1,300 separate donations were made via the Nasdaq corporate matching program, benefitting more than 300 different charitable institutions; every Nasdaq employee has access to \$1,000 in matching funds on an annual basis, as well as special double- and triple-matching campaigns
- Our #GivingTuesday campaign generated \$262,000 in charitable impact in less than 24 hours
- More than 400 Nasdaq employees officially volunteered their time to give back to charitable causes and local communities; every Nasdaq employee is given 16 hours of paid time off per year to volunteer
- The Nasdaq Educational Foundation made a special donation of \$250,000 to the Rebuild Texas Fund; similar efforts are underway to support Florida and Puerto Rico in the wake of devastating hurricanes, and to assist in the recovery from California wildfires
- The Human Rights Campaign (HRC) Corporate Equality Index, a national benchmarking tool on policies and practices pertinent LGBTQ communities, raised the Nasdaq score to 85/100
- Changes in gender balance on our board of directors enabled Nasdaq to join the 30% Club, an organization emphasizing the positive business and social aspects of boardroom diversity
- Nasdaq again partnered with Angelwish to support chronically ill children in local hospitals around the world
- Our Nasdaq Chicago and Lakewood (CO) offices continued their longstanding support of Fisher House, a veterans support organization
- Volunteer musicians from Nasdaq played a New York benefit concert for Little Kids Rock, a nonprofit organization that provides music education in schools, raising more than \$100,000

Contact Information

Evan Harvey

Global Head of Sustainability
Washington, DC
evan.harvey@nasdaq.com

Tanya Smigocki

Senior Advisor, Corporate Responsibility
New York, NY
tanya.smigocki@nasdaq.com

General Inquiries

sustainability@nasdaq.com