

# NASDAQ OMX System Application

This NASDAQ OMX System Application is for the NASDAQ OMX Global Data Agreement and/or other relevant NASDAQ OMX distributor and/or vendor agreements ("Agreements"). A "Distributor" is the organization applying for NASDAQ OMX datafeed. "Information" is defined in the Agreements. **For purposes of these instructions and the NASDAQ OMX Global Subscriber Agreement, "Distributor" shall also mean "Vendor".**

**NASDAQ OMX requires that your organization submit a separate System Application for each entitlement system that controls market data.**

Date of Application: \_\_\_\_\_

Distributor Name: \_\_\_\_\_

All applicable policy details and documents may be accessed at the following link:  
<http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsData/datapolicies.pdf>

## Section 1: System Information

What is the name of the system your organization will use to distribute NASDAQ OMX Information?

Proprietary System: \_\_\_\_\_

Non-Display System: \_\_\_\_\_

Third Party Display System: \_\_\_\_\_

How does your organization intend to use NASDAQ OMX Information?

Internally       Externally       Internally and Externally

## Section 2: Entitlement System

Provide list of all Entitlement Systems and Software Providers with NASDAQ OMX data both proprietary and/or third party.

Name of Entitlement System Provider	Name of Entitlement System
_____	Name: _____
<b>How will users be entitled?</b>	
<input type="checkbox"/> Unique User ID & Password	
<input type="checkbox"/> Interrogation Device (Non-Display)/IP Address	
<input type="checkbox"/> Per Query (entitling Subscribers for an individual price or quote) Complete <b>Appendix F</b> .	
<input type="checkbox"/> Unique Visitors (entitling number of unique visitors accessing a website)	
<input type="checkbox"/> Cable Television (cable and /or satellite television households accessing the broadcast)	
<input type="checkbox"/> Broadcast Households (broadcast television households access the broadcast)	

How often will data in the Entitlement system be disseminated?

Entitlement Timeframe	
<b>Entitlement Timeframe:</b> <input type="checkbox"/> Intraday <input type="checkbox"/> End-of-Day <input type="checkbox"/> Overnight <input type="checkbox"/> Other _____	
Name of Display Software Provider	Name of Display Software/Product
_____	NAME: _____ Version Number: _____

### Section 3: Unit of Count [Unit of Count determines pricing.]

Select **ONE** applicable unit of count for this System Application:

Distributor Controlled Products	Applicable Sections
<input type="checkbox"/> <b>Device/Access/Stand Alone/Internal:</b> Any controlled display product where the Distributor controls both the entitlements and the display of the Information. <input type="checkbox"/> <b>Per Query Usage:</b> Information on a single security delivered once to a single device/user id.	<b>(complete Sections 1 through 8, and Appendix A) (Appendix F)</b>
<input type="checkbox"/> <b>Non-Display:</b> Any controlled product where the Distributor controls the entitlements and there is no display of the Information.	<b>(complete Sections 1 through 8, and Appendix A)</b>
<input type="checkbox"/> <b>Hosted Solution:</b> A controlled product that contains Information offered by a Distributor on behalf of a Client Organization, where the Distributor provides Information to a Client Organization's External Subscribers by creating and administering a website or other system on behalf of the client, and Distributor substantially controls the system for the purpose of reporting usage or qualification, and the system is labeled, branded, or benefits the Client Organization.	<b>(complete Sections 1 through 8, and Appendix B)</b>
<input type="checkbox"/> <b>Enhanced Display Solution:</b> A controlled display product containing NASDAQ Depth Information, where the Distributor controls the entitlements and display and allows the Subscriber to access an Application Programming Interface ("API") or similar solution from that display product for utilization by that individual Subscriber only.	<b>(complete Sections 1 through 8, and Appendix C)</b>
Datafeed - Managed	
<b>Managed Data Solution:</b> Any product containing Information whereby a Distributor controls the entitlements, but may <b>not</b> control the display of the Information. <input type="checkbox"/> <b>Managed Data Solution:</b> Display <input type="checkbox"/> <b>Managed Data Solution:</b> Non-Display	<b>complete Sections 1 through 8, and Appendix D)</b>
Datafeed - Uncontrolled	
<input type="checkbox"/> <b>Datafeed Provider:</b> Any uncontrolled retransmission of Information. NASDAQ OMX considers the retransmission to be an uncontrolled datafeed if the Distributor does not control both the entitlements and the display of the Information and does not apply for a Managed Data Solution. Any organization receiving a Datafeed, (Recipients) from the Distributor is also recognized as Distributors at NASDAQ OMX.	<b>(complete Section 1 through 8, and Appendix E)</b>

## Section 4: Contact Information

Primary/ Business Contact	Technical Contact
<p>Mr./Ms./Mrs.</p> <hr/> <div style="display: flex; justify-content: space-between;"> <span>First Name</span> <span>Last Name</span> </div> <hr/> <p>Phone Number</p> <hr/> <p>E-mail Address</p> <hr/> <p>Group E-mail Address [optional]</p> <hr/> <p>Address: _____</p> <hr/>	<p>Mr./Ms./Mrs.</p> <hr/> <div style="display: flex; justify-content: space-between;"> <span>First Name</span> <span>Last Name</span> </div> <hr/> <p>Phone Number</p> <hr/> <p>E-mail Address</p> <hr/> <p>Group E-mail Address [optional]</p> <p><input type="checkbox"/> Same as Primary/Business Contact Address</p> <p>Address: _____</p> <hr/>
Administrative /Reporting Contact	Billing Contact
<p>Mr./Ms./Mrs.</p> <hr/> <div style="display: flex; justify-content: space-between;"> <span>First Name</span> <span>Last Name</span> </div> <hr/> <p>Phone Number</p> <hr/> <p>E-mail Address</p> <hr/> <p>Group E-mail Address [optional]</p> <p><input type="checkbox"/> Same as Primary/Business Contact Address</p> <p>Address: _____</p> <hr/>	<p>Mr./Ms./Mrs.</p> <hr/> <div style="display: flex; justify-content: space-between;"> <span>First Name</span> <span>Last Name</span> </div> <hr/> <p>Phone Number</p> <hr/> <p>E-mail Address</p> <hr/> <p>Group E-mail Address [optional]</p> <p><input type="checkbox"/> Same as Primary/Business Contact Address</p> <p>Address: _____</p> <hr/>

## Section 5a: Billing Information – All Distributors

Will your organization offer data services to affiliated companies?

No  Yes, then complete the below or [List of Affiliates Form](#) if additional space is required.

Affiliated Company Names*	Registered Address	Distributor's Group Holding
		_____
		_____
		_____

NASDAQ OMX Account Info	Invoice Format	Datafeed Billing Address
Existing Customer Number from Invoice: _____ or <input type="checkbox"/> New Invoice Email address for Invoices: _____	<input type="checkbox"/> email only <input type="checkbox"/> email and paper <input type="checkbox"/> paper only	_____ Street Address [P.O. Box Numbers Not Accepted] _____ Street Address 2 _____ City, State, Province, Postal Code    Country

## Section 5b: Billing Information – Nordic & Baltic Data

Please check here if your organization does NOT receive NASDAQ OMX Nordic data.

Please check here if this system qualifies for waiver of fees per the Nordic Member Rules.

<b>VAT/Company Number:</b>	_____ [required for Nordic Baltic only]
<b>Members of NASDAQ OMX Nordic</b>	<input type="checkbox"/> Nordic Cash Equity Markets Membership <input type="checkbox"/> Derivatives Market Membership <input type="checkbox"/> Derivatives Market Maker/ Liquidity Provider <input type="checkbox"/> Fixed Income Market Membership / <input type="checkbox"/> Baltic Cash Equity Markets / <input type="checkbox"/> Commodities Markets /

## Section 6: Usage Reporting Administration

Distributors are required to submit monthly market data usage reports indicating number and location of terminals or user IDs or other measure of usage as specified below. Indicate submission method.

<b>Monthly Summary Reporting</b> [required for ALL Internal and External Distributors]	<input type="checkbox"/> NASDAQ OMX Reporting Application [NORA] ( <a href="https://nora.nasdaqomx.com/">https://nora.nasdaqomx.com/</a> ) NORA registration takes place in 3 steps. (SEE FAQ)
<b>PLUS Monthly Detailed Reporting Formats</b> [required for datafeed Distributors, external Distributors and extranet providers]	<input type="checkbox"/> <a href="#">VRCSV</a> /Comma Delimited <input type="checkbox"/> <a href="#">VRXLS</a> /Spreadsheet <input type="checkbox"/> TCB Data - <a href="http://www.tcbdata.com">http://www.tcbdata.com</a>

## Section 7: Distribution Services - General

How will your organization submit units of count and/or reportable units? See [NASDAQ OMX Global Data Policies](#) for definitions.

Submission of Information		Internal within Distributor's Group		External Subscribers [Attach screenshots]	
		Real-time	Delayed	Real-time	Delayed
Distribution Method	IP address				
User Terminal - Trading		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
User Terminal - Research		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile Device		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Wallboard		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Television		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Website, Internet, Intranet		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Text Messaging		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sponsored Access		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Direct Market Access/DMA		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Risk Management		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Back or Middle Office		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clearing and Settlement		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other [specify]		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
a) _____		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) _____		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Section 8: Other Distribution Services

### Non-Display Usage

1. Will your organization offer <b>Non-Display</b> <input type="checkbox"/> No <input type="checkbox"/> Yes	Co-Location	Internal Distribution	External Distribution
a. Automated Trading [specify] _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Order Routing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Other Non-Display use [specify] _____ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Derived Data Usage

2. Will your organization offer <b>Derived Data</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	Co-Location	Internal Distribution	External Distribution
a. Creation Indices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Single Security Symbol Data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Multiple Security Symbol Data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2b. Describe how your firm intends to use Derived Data: _____ _____ _____			

## Section 9: Subscriber Agreement Information

[External Distribution Only] To skip this question, please check here if your organization does **NOT** distribute market data to external Subscribers.

As an external Distributor, your organization must administer the **NASDAQ OMX Global Subscriber Agreement or equivalent to Subscribers**. How will your organization administer the NASDAQ OMX Subscriber Agreement?

NASDAQ OMX Subscriber Agreement Administration Requirements are detailed in the <u>Agreements</u> .	
<input type="checkbox"/> Vendor Indemnification	Distributor does not offer the NASDAQ OMX Subscriber Agreement to Subscriber but instead offers the Distributor's own agreement.
<input type="checkbox"/> Online	Distributor offers the NASDAQ OMX Subscriber Agreement via the internet.
<input type="checkbox"/> Incorporation by Reference	Distributor adds specific language into the Distributor's own agreement with the Subscriber.
<input type="checkbox"/> Hard Copy/Paper	Distributor provides Subscriber with a paper copy of a NASDAQ OMX Subscriber Agreement. Distributor <b>retains executed originals</b> , but does not submit documents to NASDAQ OMX.

## Section 10: Application Signature

I certify that the information provided on this System Application and all Appendices is accurate.

<b>Signature:</b>	
<b>Print Name:</b>	
<b>Title:</b>	
<b>Date:</b>	

## Appendix A: Device/Access/Stand Alone/Non-Display

Describe how your organization intends to use the market data on a separate sheet. [System diagram required]

Please provide the following information:

### Internal Redistribution

Data will be distributed internally to employees and affiliated companies' employees only. Data will not be redistributed to organizations outside of the company and company affiliates.

a. Indicate total number of user ids/devices presently on the network. <b>[Include all devices that receive real-time data].</b>	_____ Total Devices:	_____/_____ Month/ Year
--	-------------------------	----------------------------

### External Redistribution

Data will be distributed externally to non-employees and non-affiliated companies' employees only. Data will be redistributed to organizations outside of the company.

a. Will data from a service be redistributed to additional servers, which in turn, can redistribute data to various users, servers and/or devices?	<input type="checkbox"/> No	<input type="checkbox"/> Yes
If yes, please explain:		

b. Will your firm offer an API (Application Programming Interface) or DDE (Dynamic Data Exchange) as part of your display service?	<input type="checkbox"/> No	<input type="checkbox"/> Yes
If yes, please explain:		

c. Will your display service be compatible with third party software (i.e. Order Management System, EMS, Charting Portfolio, etc.)?	<input type="checkbox"/> No	<input type="checkbox"/> Yes
If yes, please explain:		

d. Indicate type of external Subscriber receiving data.	<input type="checkbox"/> External Professional <input type="checkbox"/> External Non-Professional
e. Indicate total number of user ids/devices presently on the network. <b>[Include all devices that receive real-time data].</b>	_____      _____/_____ Total Devices      Month/ Year



## Appendix B: Hosted Solution

Describe how your organization intends to use the market data on a separate sheet. **[System diagram required]**

Hosted Solution is a delivery option for a controlled data product that contains NASDAQ OMX information offered by a Distributor on behalf of a client organization, where the Distributor can substantially control the information for the purpose of reporting usage or qualification. Controlled data products include systems where the Distributor monitors and controls the entitlement and access to the market data.

**Yes**, I understand this contractual requirement.

1. Will your organization technically display the data, and/or will a third party provide the hosted solution?  Yes  No  Yes  No

Third Party Name: \_\_\_\_\_

a. Indicate total number of user ids/devices presently on the network.

\_\_\_\_\_  
Total Devices

\_\_\_\_\_/\_\_\_\_\_  
Month/ Year

**[Include all devices that receive real-time data].**

**b. Products that are part of the hosted solution US and Nordic:**

US Hosted Solutions Products	Nordic Hosted Solutions Products
Service level	Service level
Global Index Data Service (GIDS)– Hosted Solution	Nordic Delayed Datafeed Recipient Hosted Solution
Mutual Fund Dissemination Service (MFDS) - Hosted Solution	Nordic Hosted Delayed Issuer Per Isin
	Nordic Hosted Real-time Issuer Per Isin
	Nordic Hosted Delayed Data Issuer Per Peer Group of ISIN
	Nordic Hosted Real-time Issuer Per Peer Group of ISIN
	Nordic Equity Last Sale Hosted Solution
	Nordic Equity Level 1 and Level 2 Private Hosted Solution
	Nordic Equity Level 1 and Level 2 Business Hosted Solution

**A list of Client Organizations must be submitted with the application. (Use [VRCSV](#) format only. (Additional information can be found in [GDP Data Polices](#) - Usage Reporting Policy: Submission of Reports for business requirements.)**

## Appendix C: Enhanced Display Solution

Describe how your organization intends to use the market data on a separate sheet. **[System diagram required]**

Your organization will offer NASDAQ OMX Depth Information in its own messaging formats (rather than its raw NASDAQ OMX message formats) by reformatting, redisplaying and/or altering the Nasdaq Depth Information prior to retransmission, but **not** to affect the integrity of the NASDAQ Depth Information and **not** to render it inaccurate, unfair, uninformative, fictitious, misleading or discriminatory.

**Yes**, I understand the Enhanced Display Solution option.

a. Indicate which NASDAQ Depth Information will be utilized on this system.	<input type="checkbox"/> NASDAQ TotalView /OpenView <input type="checkbox"/> NASDAQ Level 2/ OpenView	
b. Explain how the Subscriber accesses the data, or causes your system to access the data?		
If yes, please explain:		
c. How will your system monitor Subscribers for any non-display or excessive use?		
If yes, please explain:		
d. Will your organization offer a service that includes an API [Application Programming Interface] or similar solution for clients or Subscribers?	<input type="checkbox"/> No	<input type="checkbox"/> Yes
If yes, please explain:		
e. Indicate type of Subscriber receiving data.	<input type="checkbox"/> Professional	<input type="checkbox"/> Non-Professional
f. Indicate total number of user ids/devices presently on the network. <b>[Include all devices that receive real-time data].</b>	_____ Total Devices	_____/_____ Month/ Year
g. If no user ids/devices are presently on the network, provide approximate start date of first Subscriber.	_____/_____ Month/ Year	

## Appendix D: Datafeed - Managed Data Solution

Managed Data Solutions fee structure, Distributors may now provide datafeeds, Application Programming Interfaces (APIs) or similar automated delivery solutions to client organizations whereby the Distributor controls the entitlements, but may **not** control the display (if any) of the Information. . The recipient of a Managed Data Solution may use the information **for internal purposes only** and may not distribute the information to other internal Subscribers within their firm or to any Subscribers outside of their organization.

**Yes**, I understand this contractual requirement.

<p>a. Indicate which NASDAQ Depth and/or Nordic Information will be received on this system.</p>	<input type="checkbox"/> NASDAQ TotalView /OpenView <input type="checkbox"/> NASDAQ Level 2/OpenView <input type="checkbox"/> NASDAQ TotalView – ITCH FPGA <input type="checkbox"/> NASDAQ OMX Nordic Equity ITCH –TotalView (Non-display only) <input type="checkbox"/> NASDAQ OMX Nordic Derivatives ITCH – TotalView (Non-display only) <input type="checkbox"/> PHLX Orders <input type="checkbox"/> Top Of PHLX Options (TOPO) <input type="checkbox"/> BX TotalView <input type="checkbox"/> PSX TotalView	
<p>b. Indicate total number of user ids/devices and/or Sponsored Access firms presently on the network. <b>[Include all devices that receive real-time data].</b></p>	<p>_____</p> <p>Total Devices</p>	<p>_____/_____ Month/ Year</p>
<p>c. If no user ids/devices or Sponsored Access firms are presently on the network, provide approximate start date of first Subscriber.</p>	<p>_____/_____ Month/ Year</p>	
<p>d. Describe how your firm will normalize the datafeed.</p>		
<p>Please explain:</p> <p>_____</p> <p>_____</p> <p>_____</p>		

### Will your organization offer the following services?

<p>e. Will data from a service be redistributed to additional servers, which in turn, can redistribute data to various users, servers and/or non-display devices?</p>	<input type="checkbox"/> No	<input type="checkbox"/> Yes
<p>If yes, please explain:</p> <p>_____</p> <p>_____</p>		
<p>f. Will your organization offer a service that includes an API [Application Programming Interface] or DDE [Dynamic Data Exchange] as part of your display service?</p>	<input type="checkbox"/> No	<input type="checkbox"/> Yes
<p>If yes, please explain:</p> <p>_____</p> <p>_____</p>		

## Appendix E: Datafeed (Uncontrolled):

**Retransmission Datafeed is defined as any uncontrolled retransmission of NASDAQ OMX data where the Distributor does not control either the entitlements or the display of the market data.**

1. Confirm your understanding that a datafeed may not be provided for real-time and delayed, snapshot and streaming data until your firm receives written permission (via fax, hard copy or e-mail) from NASDAQ OMX Global Data Products for the approval of each datafeed. It is the datafeed provider's obligation to ensure the necessary application information is provided to its datafeed customers.

Yes, I understand this contractual requirement.

2. Which type of NASDAQ OMX datafeeds will your organization provide?

Datafeed Service	Real Time Datafeed	Datafeed Service	Real Time Data Feed
NASDAQ U.S. Equities	<input type="checkbox"/> Yes <input type="checkbox"/> No	NASDAQ OMX PSX	<input type="checkbox"/> Yes <input type="checkbox"/> No
NASDAQ OMX Nordic	<input type="checkbox"/> Yes <input type="checkbox"/> No	NASDAQ OMX Global Index Data	<input type="checkbox"/> Yes <input type="checkbox"/> No
NASDAQ OMX Baltic	<input type="checkbox"/> Yes <input type="checkbox"/> No	NASDAQ OMX Commodities	<input type="checkbox"/> Yes <input type="checkbox"/> No
NASDAQ OMX PHLX	<input type="checkbox"/> Yes <input type="checkbox"/> No	NASDAQ Options Market (NOM)	<input type="checkbox"/> Yes <input type="checkbox"/> No
NASDAQ OMX BX	<input type="checkbox"/> Yes <input type="checkbox"/> No	UTP Level 1	<input type="checkbox"/> Yes <input type="checkbox"/> No

3. Will your organization redistribute uncontrolled data within the NASDAQ Co-Location facility?

Yes  No

**Note:** NASDAQ OMX requires each datafeed Distributor to provide a list of all active datafeeds to NASDAQ OMX on a monthly basis. Datafeed Distributors are permitted to provide a total inventory and update the base inventory with adds and deletes each month. Such reporting requires the following information:

- Datafeed Subscriber Name and Billing Address.
- Customer Type [MDS, EDS, etc.]
- Datafeed Location or Installation Address.
- Level of Service - NASDAQ Level 1 Service, Level 2, GIDS (External), BX TotalView, OMX TotalView etc.
- Timing of Datafeed - Real-time, Delayed or Real-Time Index
- Number of Datafeeds at each location
- Installation/Termination Date

(Use [VRCSV](#) format only. (Additional information can be found in [GDP Data Polices](#) - Usage Reporting Policy: Submission of Reports for business requirements.)

## Appendix F: Per Query Usage

Distributors offering Per Query services must comply with the following requirements for providing queries. **A QUERY is defined as Information on a single security delivered once to a single device/user id.** It may be required that each Distributor provide a demonstration of the query counting system **prior** to implementation. **NOTE: Distributors are not permitted to offer NASDAQ proprietary products such as NASDAQ index, NASDAQ TotalView on a per query basis.**

1. Distributors must count and report all real-time queries distributed during the below listed timeframes. Indicate the time period(s) your system distributes and your system counts queries.

Timeframe	Yes/No
pre-market session: 4:00 a.m. to 9:45 a.m., Eastern Time (ET)	<input type="checkbox"/> Yes <input type="checkbox"/> No
regular market session: 9:30 a.m. to 4:15 p.m., ET	<input type="checkbox"/> Yes <input type="checkbox"/> No
post-market session: 4:00 p.m. to 8:15 p.m., ET	<input type="checkbox"/> Yes <input type="checkbox"/> No

2. Provide the values (code script) that your firm utilizes to differentiate between the 5 markets:

Security Type	Value Shown
NASDAQ Global Select Market <sup>SM</sup>	
NASDAQ Global Market <sup>SM</sup>	
NASDAQ Capital Market <sup>®</sup>	
OTC Bulletin Board	
Other OTC Securities	

3. Distributors must ensure the meter counts queries distributed on all screens including: portfolio screens; charts; pre-trade and post trade confirmations; and real-time queries provided to delayed subscribers at the time of the trade. Indicate pages your system offers real-time queries.

Page Display	Yes/No
Single Security Information	<input type="checkbox"/> Yes <input type="checkbox"/> No
Portfolio	<input type="checkbox"/> Yes <input type="checkbox"/> No
Charts/Graphs	<input type="checkbox"/> Yes <input type="checkbox"/> No
Pre-trade confirmation	<input type="checkbox"/> Yes <input type="checkbox"/> No
Post trade confirmation	<input type="checkbox"/> Yes <input type="checkbox"/> No
Others: _____	<input type="checkbox"/> Yes <input type="checkbox"/> No