

Nasdaq-Port

Request Form

Please email the completed form to subscriber@nasdaq.com and nfxops@nasdaq.com.

Participant

| | | | |
|--------------------------|-----------------------------------|--------------------------------------|--|
| Firm Name | | MPID | |
| Clearing Firm Name (FCM) | | MPID Of Clearing Firm | |
| Contact Person | | | |
| Tel. Number | | Email Address | |
| | <input type="checkbox"/> New User | <input type="checkbox"/> Remove User | |
| Name In Print | | Title In Print | |
| Signature Of Requestor | | Date | |

User 1

| | | | |
|-------------------------------------|---|--|---|
| Owner Name | | | |
| Tel. Number | | Email Address | |
| Environment | <input type="checkbox"/> Test 1 <input type="checkbox"/> Production | User Type | <input type="checkbox"/> Read Only <input type="checkbox"/> Full Access |
| Access | <input type="checkbox"/> TRADE REPORT ACCESS (ORBS) | <input type="checkbox"/> DMA/Trading Firm Access | |
| | <input type="checkbox"/> FCM Access (FCMs ONLY) | <input type="checkbox"/> DMA/Trading Firm Access (With Order Cancellation) | |
| | | <input type="checkbox"/> FCM Access (With Order Cancellation) (FCMs ONLY) | |
| Account Access (DMA/ Trading Firms) | EX: Filter for Account XYZ & 123 | | |

User 2

| | | | |
|-------------------------------------|---|--|---|
| Owner Name | | | |
| Tel. Number | | Email Address | |
| Environment | <input type="checkbox"/> Test 1 <input type="checkbox"/> Production | User Type | <input type="checkbox"/> Read Only <input type="checkbox"/> Full Access |
| Access | <input type="checkbox"/> TRADE REPORT ACCESS (ORBS) | <input type="checkbox"/> DMA/Trading Firm Access | |
| | <input type="checkbox"/> FCM Access (FCMs ONLY) | <input type="checkbox"/> DMA/Trading Firm Access (With Order Cancellation) | |
| | | <input type="checkbox"/> FCM Access (With Order Cancellation) (FCMs ONLY) | |
| Account Access (DMA/ Trading Firms) | EX: Filter for Account XYZ & 123 | | |

*For additional users, please use appendix.

Appendix

User 3

| | | | |
|-------------------------------------|---|--|---|
| Owner Name | | | |
| Tel. Number | | Email Address | |
| Environment | <input type="checkbox"/> Test 1 <input type="checkbox"/> Production | User Type | <input type="checkbox"/> Read Only <input type="checkbox"/> Full Access |
| Access | <input type="checkbox"/> TRADE REPORT ACCESS (ORBS) | <input type="checkbox"/> DMA/Trading Firm Access | |
| | <input type="checkbox"/> FCM Access (FCMs ONLY) | <input type="checkbox"/> DMA/Trading Firm Access (With Order Cancellation) | |
| | | <input type="checkbox"/> FCM Access (With Order Cancellation) (FCMs ONLY) | |
| Account Access (DMA/ Trading Firms) | EX: Filter for Account XYZ & 123 | | |

User 4

| | | | |
|-------------------------------------|---|--|---|
| Owner Name | | | |
| Tel. Number | | Email Address | |
| Environment | <input type="checkbox"/> Test 1 <input type="checkbox"/> Production | User Type | <input type="checkbox"/> Read Only <input type="checkbox"/> Full Access |
| Access | <input type="checkbox"/> TRADE REPORT ACCESS (ORBS) | <input type="checkbox"/> DMA/Trading Firm Access | |
| | <input type="checkbox"/> FCM Access (FCMs ONLY) | <input type="checkbox"/> DMA/Trading Firm Access (With Order Cancellation) | |
| | | <input type="checkbox"/> FCM Access (With Order Cancellation) (FCMs ONLY) | |
| Account Access (DMA/ Trading Firms) | EX: Filter for Account XYZ & 123 | | |

User 5

| | | | |
|-------------------------------------|---|--|---|
| Owner Name | | | |
| Tel. Number | | Email Address | |
| Environment | <input type="checkbox"/> Test 1 <input type="checkbox"/> Production | User Type | <input type="checkbox"/> Read Only <input type="checkbox"/> Full Access |
| Access | <input type="checkbox"/> TRADE REPORT ACCESS (ORBS) | <input type="checkbox"/> DMA/Trading Firm Access | |
| | <input type="checkbox"/> FCM Access (FCMs ONLY) | <input type="checkbox"/> DMA/Trading Firm Access (With Order Cancellation) | |
| | | <input type="checkbox"/> FCM Access (With Order Cancellation) (FCMs ONLY) | |
| Account Access (DMA/ Trading Firms) | EX: Filter for Account XYZ & 123 | | |

User 6

| | | | |
|-------------------------------------|---|--|---|
| Owner Name | | | |
| Tel. Number | | Email Address | |
| Environment | <input type="checkbox"/> Test 1 <input type="checkbox"/> Production | User Type | <input type="checkbox"/> Read Only <input type="checkbox"/> Full Access |
| Access | <input type="checkbox"/> TRADE REPORT ACCESS (ORBS) | <input type="checkbox"/> DMA/Trading Firm Access | |
| | <input type="checkbox"/> FCM Access (FCMs ONLY) | <input type="checkbox"/> DMA/Trading Firm Access (With Order Cancellation) | |
| | | <input type="checkbox"/> FCM Access (With Order Cancellation) (FCMs ONLY) | |
| Account Access (DMA/ Trading Firms) | EX: Filter for Account XYZ & 123 | | |

GLOSSARY

MPID – Market Participant Identifier

User types

- Trade Report Access (ORB) – Account View, Block Ticker, Enter Trade Report View (Deal Entry), Trade History, Trades
- DMA/Trading Firm Access - Account View, Block Ticker, Trade History, Trades
- FCM Access (FCMs ONLY) - Account View, Block Ticker, Configure Trade Report Accounts, Enter Single Leg Trade Report, Enter Trade Report, Trade History, Trades
- DMA/Trading Firm Access (With Order Cancellation) – Orders, Account View, Block Ticker, Trade History, Trades
- FCM Access (With Order Cancellation) (FCMs ONLY) – Orders, Account View, Block Ticker, Configure Trade Report Accounts, Enter Single Leg Trade Report, Enter Trade Report, Trade History, Trades